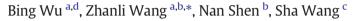
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# Modelling sediment transport capacity of rill flow for loess sediments on steep slopes



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#### ARTICLE INFO

Article history: Received 10 May 2015 Received in revised form 16 June 2016 Accepted 20 July 2016 Available online xxxx

Keywords: Sediment transport capacity Flow discharge Slope gradient Flow velocity Rill flow Loess sediments Steep slope

# ABSTRACT

Sediment transport is an important aspect of soil erosion, and sediment transport capacity ( $T_c$ ) is a key to establishing process-based erosion models. A lot of studies exist that have determined  $T_c$  for overland flow, however, few studies have been conducted to determine  $T_c$  for loess sediments on steep slopes. Experimental data for this region are thus needed. The objectives of this study are to formulate new equations to describe  $T_c$  and evaluate the suitability of these equations for loess sediments on steep slopes. The slope gradients in this study ranged from 10.51% to 38.39%, and flow discharges per unit width varied from  $1.11 \times 10^{-3}$  m<sup>2</sup> s<sup>-1</sup> to  $3.78 \times 10^{-3}$  m<sup>2</sup> s<sup>-1</sup>. Results showed that  $T_c$  increased as a power function with flow discharge and slope gradient, with  $R^2 = 0.99$  and Nash–Sutcliffe model efficiency (NSE) = 0.99.  $T_c$  was more sensitive to flow discharge than slope gradient.  $T_c$  increased as a power function with was also a good predictor of  $T_c$ , and stream power ( $R^2 = 0.96$ , NSE = 0.96). NSE = 0.96) was a better predictor of  $T_c$  than shear stress. However, unit stream power was not a good predictor to estimate  $T_c$  in our study, with  $R^2 = 0.63$  and NSE = 0.62. These findings offer a new approach for predicting  $T_c$  for loess sediments on steep slopes.

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# 1. Introduction

Soil erosion has become an important environmental problem worldwide (Lal, 1998; Ali et al., 2011; Heathcote et al., 2013), and it often occurs in hilly and mountainous areas (Ali et al., 2011). The Loess Plateau in northwest China has suffered from serious soil erosion in recent decades (Shi and Shao, 2000; Liu et al., 2012; Zhao et al., 2013). Several process-based erosion prediction models (Smith et al., 1995; De Roo et al., 1996; Morgan et al., 1998; Flanagan et al., 2001) have been established to help predict the intensity of soil erosion and assess the rate of erosion in a particular area. In the Loess Plateau of China, a process-based erosion model must be established to aid in the decision making concerning soil erosion control in the area. Soil erosion involves the processes of detachment, transport and deposition of soil particles (Nearing et al., 1997). Predicting the transport capacity of overland flow  $(T_c)$  can help in understanding the soil erosion processes for developing process-based erosion prediction models (Julien and Simons, 1985; Finkner et al., 1989; Govers, 1990; Ferro, 1998). A number of

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equations that are credible in their representation of  $T_c$  have been proposed to estimate T<sub>c</sub> (Beasley et al., 1982; Finkner et al., 1989; Nearing et al., 1989; Govers, 1990; Govers, 1992; Prosser and Rustomji, 2000; Flanagan et al., 2007; Zhang et al., 2008; Zhang et al., 2009; Ali et al., 2013: Mahmoodabadi et al., 2014). However, Govers (1992) suggested that using existing formula developed from observations in channels and alluvial rivers to predict the  $T_c$  of overflow is questionable because of the different hydraulic conditions. Govers (1992) tested a number of formulae using an experimental dataset obtained under laboratory conditions that simulated rill flow. The tested slopes ranged from 0.017 to 0.21. Five well-sorted quartz materials were used with a median grain size ranging from 58 µm to 1100 µm, and unit discharges were in the intermediate to high range  $(2 \times 10^{-4} \text{m}^2 \text{s}^{-1} - 150 \times 10^{-4} \text{m}^2 \text{s}^{-1})$ . Govers (1992) found that no existing formula performs well over the whole range of available data. Thus far, very little data on  $T_c$  is available for loess sediments in combination with steep slope gradients, and this situation is very relevant for the Chinese loess areas. Govers (1992) also found that simple empirical equations based on shear stress, unit stream power and effective stream power, as well as the shear stressbased formula of Low (1989), can be used to predict the  $T_c$  of overland flow, at least in some cases. Thus, evaluating the relationship of  $T_c$ with the hydraulic parameter for loess sediments in combination with





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steep slope gradients is essential. Overall, obtaining accurate estimates of the  $T_c$  of rill flow for loess sediments on steep slope gradients is key to establishing a reliable soil erosion model in the Loess Plateau in China.

In some past studies, different unit flow discharges and slope gradients were set up to analyse the relationship of  $T_c$  with flow discharge and slope gradient, such as

$$T_c = k_1 q^\beta S^\gamma, \tag{1}$$

where  $T_c$  is the sediment transport capacity per unit width of slope (kg m<sup>-1</sup> s<sup>-1</sup>); *q* is the discharge per unit width (m<sup>2</sup> s<sup>-1</sup>); *S* is the local energy gradient (m m<sup>-1</sup>), approximated here as the surface gradient; and  $k_1$ ,  $\beta$  and  $\gamma$  are empirical or theoretically derived constants (Prosser and Rustomji, 2000). Most of these equations were set up on a gentle slope. Beasley and Huggins (1982) reported that slope gradient and flow discharge strongly influenced  $T_c$  and proposed equations derived from extensive research and data analysis:

$$T_c = 146Sq^{0.5} \quad q \le 0.046 \tag{2}$$

and

$$T_c = 14600Sq^2 \quad q > 0.046, \tag{3}$$

where  $T_c$  is the sediment transport capacity (kg m<sup>-1</sup> min<sup>-1</sup>), *S* is the slope gradient (m m<sup>-1</sup>) and *q* is the flow discharge (m<sup>2</sup> min<sup>-1</sup>). Eqs. (2) and (3) belong to the erosion part of the ANSWERS model, whose the slope gradients were <10%. Mahmoodabadi et al. (2014) reported that a regression equation is provided as a function of unit flow discharge and final slope gradient:

$$T_c = 8590.1q^{0.855}S^{1.872},\tag{4}$$

where  $T_c$  is the sediment transport capacity (kg m<sup>-1</sup> s<sup>-1</sup>), *S* is the slope gradient (m m<sup>-1</sup>) and *q* is the unit flow discharge (m<sup>2</sup> s<sup>-1</sup>). In this experiment, 27 experiments on three soils with three constant inflow rates (50, 75 and 122 mL s<sup>-1</sup>) on three slope gradients (2%, 4% and 6%) were carried out. The mean weighted diameters of the three soils were 0.77, 0.33 and 0.19 mm, respectively. Zhang et al. (2009) suggested that flow discharge is more important than slope on steep sandy slopes and derived the following equation:

$$T_c = 19831q^{1.237}S^{1.227},\tag{5}$$

where  $T_c$  is the sediment transport capacity (kg m<sup>-1</sup> s<sup>-1</sup>), *S* is the slope gradient (m m<sup>-1</sup>), and *q* is the flow discharge (m<sup>2</sup> s<sup>-1</sup>). In this experiment, the slope gradients were from 8.8% to 46.6%, flow discharge ranged from  $0.625 \times 10^{-3}$  m<sup>2</sup> s<sup>-1</sup> to  $5.000 \times 10^{-3}$  m<sup>2</sup> s<sup>-1</sup> and well-sorted sand with a median diameter of 0.28 mm was used. However, the test materials were not the typical soil that comes from the Loess Plateau in northwest China.

In addition, many researchers investigated new algorithms to estimate  $T_c$  with hydraulic parameters and analysed the influence of different hydraulic parameters on  $T_c$ , such as mean flow velocity, shear stress, stream power and unit stream power.

Foster and Meyer. (1972) used experimental data to obtain  $T_c$  and found that the Yalin equation estimated the  $T_c$  of overland flow well. Alonso et al. (1981) tested nine equations based on the  $T_c$  of rivers and sinks and considered the Yalin (1963) equation the most suitable for application to overland flow. The Water Erosion Prediction Project (WEPP) model used a modified Yalin equation to calculate  $T_c$ . In WEPP,  $T_c$  is determined using the shear stress, which is calculated as

$$\tau = \rho ghS, \tag{6}$$

where  $\tau$  is the shear stress (Pa),  $\rho$  is the water mass density (kg m<sup>-3</sup>), g is the gravitational constant (m s<sup>-2</sup>), h is the hydraulic radius (m) and S

is the sine of the bed slope (m m<sup>-1</sup>). The modified Yalin equation used in WEPP is as follows:

$$T_c = k\tau^{1.5},\tag{7}$$

where  $T_c$  is the sediment transport capacity (kg m<sup>-2</sup> s<sup>-1</sup>) and k is a transport coefficient (m<sup>0.5</sup> s<sup>2</sup> kg<sup>-0.5</sup>). Abrahams et al. (2001) found that  $T_c$  is a function of shear stress, and that shear stress predicts it well from non-erodible flume experiments:

$$T_{c} = a\tau^{1.5} \left(1 - \frac{\tau_{c}}{\tau}\right)^{3.4} \left(\frac{u}{u*}\right)^{c} \left(\frac{w_{i}}{u*}\right)^{-0.5},$$
(8)

where  $T_c$  is the dimensionless sediment transport rate,  $\tau$  is the dimensionless shear stress,  $t_c$  is the critical dimensionless shear stress,  $u/u^*$  is the resistance coefficient,  $w_i$  is the inertial settling velocity of the sediment, a and c are coefficients calculated respectively as log  $a = -0.42C_r/D_r^{0.20}$  and  $c = 1 + 0.42C_r/D_r^{0.20}$ , where  $C_r$  is the roughness concentration and  $D_r$  is the roughness diameter.

Various studies have demonstrated the relationship between  $T_c$  and stream power. Bagnold (1966) suggested that  $T_c$  is related primarily to the stream power. Aziz and Scott (1989) found that the power relationship is a good fit for  $T_c$  and stream power according to their analysis of the behaviour of well-sorted sand with four median diameters (0.285, 0.508, 0.718, and 1.015 mm) at slopes of 3%–10%. Li and Abrahams (1999) further established this relationship based on 384 sets of flume experiments. Li et al. (2011) analysed the behaviour of well-sorted sand with a median diameter of 0.74 mm in flumes at slopes of 5%– 17.6% and reported that the new sediment transport capacity equation is a function of stream power. The main hydraulic variable is the stream power in the GUEST(Griffith University Erosion System Template). The stream power is calculated as (Misra and Rose, 1996) follows:

$$\Omega = \tau V, \tag{9}$$

where *V* is the mean velocity (m s<sup>-1</sup>),  $\Omega$  is the stream power (W m<sup>-2</sup>) and  $\tau$  is the shear stress (Pa). The equivalent concept of  $T_c$  in the GUEST is the sediment concentration at the transport limit (C<sub>t</sub>), which is calculated as (Misra and Rose, 1996):

$$C_t = \frac{R_1 F}{V_a} \left(\frac{\sigma}{\sigma - \rho}\right) \left(\frac{\Omega - \Omega_0}{f_r g D}\right) \tag{10}$$

where  $C_t$  is the sediment concentration at the transport limit (kg m<sup>-3</sup>),  $R_1$  is the ratio of sediment layer width to the wetted perimeter, F is the fraction of stream power effective in entrainment and re-entrainment,  $V_a$  is the weighted average settling velocity (m s<sup>-1</sup>),  $\sigma$  is the wet density of the sediment (kg m<sup>-3</sup>),  $\rho$  is the water density (kg m<sup>-3</sup>),  $\Omega_0$  is the threshold stream power (W m<sup>-2</sup>),  $f_r$  is a dimensionless parameter calculated through the sidewall slope of rill, and D is water depth (m). Mahmoodabadi et al. (2014) found that the performance of GUEST in predicting  $T_c$  can be further improved using the proposed value of F = 0.15.

Unit stream power became another frequently used hydraulic variable after Yang (1972, 1973) used it to develop a total load equation. The unit stream power is calculated as follows:

$$P = VS \tag{11}$$

where *P* is the unit stream power (m s<sup>-1</sup>), *V* is the mean velocity (m s<sup>-1</sup>) and *S* is the sine of the bed slope (m m<sup>-1</sup>). Based on Govers (1990), the European Soil Erosion Model (Morgan et al., 1998) and the Limburg Soil Erosion Model (De Roo et al., 1996) modelled  $T_c$  as a function of unit stream power:

$$T_c = m(P - P_c)^n \text{ or } T_c = d_s m(P - P_c)^n$$
(12)

where  $T_c$  is the sediment transport capacity in EUROSEM (m<sup>3</sup> m<sup>-3</sup>) or in LISEM (kg m<sup>-3</sup>);  $d_s$  is the mass density of the test soil (= 2650 kg m<sup>-3</sup>); m and n are coefficients calculated as  $m = [(d_{50} + 5)/0.32]^{-0.6}$  and  $n = [(d_{50} + 5)/300]^{0.25}$ , where  $d_{50}$  is the median particle diameter of the test soil (µm) and P is the unit stream power (cm s<sup>-1</sup>); and  $P_c$  is the critical unit stream power (cm s<sup>-1</sup>).

The Loess Plateau in northwest China contains steep slopes and experiences high rain intensities. Most equations established previously to predict  $T_c$  were under the condition of gentle slope, and the test materials were well-sorted sand with different median diameters. Additional work is necessary on materials that have a grain size distribution similar to real soils, and few studies have determined the  $T_c$  for loess sediments on steep slope. Hence, experimental data for this region are needed.

The objective of this study is to understand the effect of flow discharge, slope gradient and mean flow velocity on the  $T_c$  of rill flume to examine the relationships of the  $T_c$  of rill flow with the hydrodynamic parameters for loess sediments on steep slopes.

#### 2. Materials and methods

### 2.1. Experiment soil sample

Test materials were loess soil collected from Ansai, Shaanxi in China. Ansai County is located in a typical loess region on the Loess Plateau. The test soil was air dried and sieved through a 2 mm sieve. The test soil consisted of 6.04% fine sand (0.1–0.25 mm), 30.53% very fine sand (0.05–0.1 mm), 53.41% silt (0.002–0.05 mm) and 10.02% clay (<0.002 mm). The median diameter of the test soil was 0.04 mm. The contents of water stable aggregates of the test soil were measured using a wet sieve method. The contents of water stable soil aggregates consisted of 1.88% (1–2 mm), 2.50% (0.5–1 mm), 3.62% (0.25–0.5 mm) and 91.97% (<0.25 mm).

#### 2.2. Experiment set-up

The experiment was conducted in the rainfall simulation laboratory of the State Key Laboratory of Soil Erosion and Dryland Farming on the Loess Plateau in Yangling, China.  $T_c$  was measured in a  $4 \times 0.1 \times 0.1$  m

 $(L \times W \times H)$  rill flume (Fig. 1). The length of our experiment flume was similar to those reported by Zhang et al. (2009), who measured  $T_c$  in a 5 m-long flume, and Ali et al. (2013), who selected a 3 m-long flume. Lei et al. (2002) suggested that  $T_c$  will not increase beyond a certain rill length on the Loess Plateau and that the critical rill length is 4 m. They also reported that the rill depth is from 0.05 m to 0.15 m. Therefore, a  $4 \times 0.1 \times 0.1$  m (L  $\times W \times H$ ) rill flume was selected in our experiment. The slope of the flume could be adjusted to within 0.05% of a desired slope. The elevation of the upper end of the flume was adjusted using a stepping motor that allowed adjustment of the bed gradient to 57.73%. Flow discharge was controlled by a series of valves installed on a flow diversion box and measured directly by a calibrated flow meter. The test soil was evenly and smoothly glued to the surface of the flume bed to maintain a constant roughness throughout all experiments.

Two sediment sources were designed so that  $T_c$  was reached for each combination of flow discharge and slope gradient. A hopper was installed above the flume 0.6 m from the top. The sediment feeding rate was controlled by the rotational speed of rotors installed within the hopper and was calibrated to measured data. The rotational speed of the rotors was controlled by adjusting the drives. The second sediment source was a box embedded in the lower end of the rill flume. The box was filled with the test soil and was covered with a very thin iron sheet while the hydraulic characteristics of the flow were being measured.

#### 2.3. Experiment procedures

In the experiment, the slope of the flume bed and the flow discharge were adjusted to the desired values before feeding the sediment (i.e. test soil). After the flow discharge stabilised, flow depth measurements were taken using a level probe with an accuracy of 0.2 mm across the flow section at the left, middle and right at 0.8, 0.5 and 0.2 m from the lower end of the rill flume. Nine depths were measured for each combination of flow discharge and slope gradient, and each combination was repeated once. The average of 18 depths was considered to be the mean flow depth for that combination of flow discharge and slope gradient. Flow velocity was measured using KMnO<sub>4</sub> as a tracer. The time during which the tracer was required to traverse a marked distance (0.6 m)

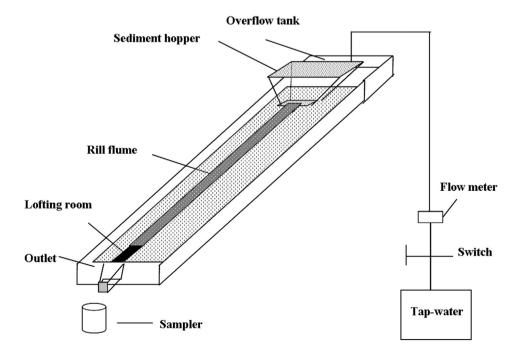


Fig. 1. Experimental rill flume used to measure sediment transport capacity.

was determined based on the colour-front propagation using a stop watch. Flow velocity was measured twice, and three flow velocity values were obtained from the left, middle and right of the experiment flume, which was 0.1 m wide every time. A total of six flow velocity values were used to calculate the mean flow velocity. The mean flow velocity was used to calculate the stream power ( $\omega$ ) and unit stream power (P).

The hopper began feeding the test soil to the flow of rill flume after the hydraulic characteristics were measured. The sediment feeding rate was adjusted gradually until the feeding sediment could not be carried completely and deposition occurred at the position of sediment feeding to flow, at which point  $T_c$  was assumed to be reached and the feeding rate was set. The iron sheet was then removed and measurements began. If the transport capacity was not reached due to insufficient sediment fed from the hopper, the deficit was added via sediment entrainment from the slot (i.e. the second sediment source) to reach  $T_c$ . Five samples were collected as quickly as possible for each combination of flow rate and slope gradient to avoid excessive erosion in the slot. The second sediment source was refilled with test soil if scouring occurred during the test. A new test was then started with another combination of flow rate and slope gradient.

The collected samples were allowed to settle for 24 h. The clear supernatant was decanted from the containers, and the wet sediment was oven dried at 105 °C for 12 h. The weight of the dry sediment was divided by the sampling time and flume width to obtain  $T_c$ . Sampling time was adjusted according to the flow discharge (longer for low flow rates and shorter for high flow rates). The average of the five samples was used as the measured equilibrium  $T_c$  for that combination of flow discharge (1.11, 1.56, 2.00, 2.44, 2.89, 3.33 and  $3.78 \times 10^{-3} \text{ m}^2 \text{ s}^{-1}$ ) and slope gradients (10.51%, 15.84%, 21.26%, 26.79%, 32.49% and 38.39%) were tested.

#### 2.4. Data calculation and analysis

The shear stress, stream power and unit stream power were calculated using Eqs. (6), (9) and (11), respectively. The value of  $V_s$  was used to estimate the mean flow velocity (V) through the formula:

$$V = kV_s, \tag{13}$$

where  $V_s$  is the surface flow velocity (cm s<sup>-1</sup>); *V* is the mean flow velocity (cm s<sup>-1</sup>); and *k* is the correction coefficient that is 0.67 for laminar flow, 0.7 for transitional flow and 0.8 for turbulent flow (Li et al.,

1996; An et al., 2012). However, some researchers used other methods to obtain the mean flow velocity. Govers (1992) used Savat's algorithm to calculate the mean flow velocity for clean water, while doing velocity measurements using dye in sediment-laden flows, Savat's algorithm (1980) is as followed:

$$V = \frac{7Q}{11}R\tag{14}$$

where *V* is the mean flow velocity (cm s<sup>-1</sup>); Q is flow discharge per unit width (cm<sup>2</sup> s<sup>-1</sup>); R is hydraulic radium(cm). Govers (1990) observed that the flow velocities which were measured using dye tracing were significantly higher than the flow velocities predicted using Savat's algorithm. Gut et al. (1990) found that mean surface velocities of sediment-laden sheet flow were 12% higher than those measured in clear water. Aziz and Scott (1989) suggested that sediment load has a negative effect on flow velocity because of an increase in flow depth when sediment is present in the flow.

The dataset have been split in two parts at random and the size of each dataset (n) was 21. One part of the dataset was carried out to derive new equations that can describe the relationship of  $T_c$  with flow discharge and slope gradient, and hydraulic parameters by regression analysis, and derive statistical parameters R<sup>2</sup> and NSE values. Another part of dataset was used to the equation validation by generating statistical parameters R<sup>2</sup>, RE and NSE values, which were used to evaluate the performance of new equations. When power equations were tested in the regression analysis, log transform before testing was conducted first to derive the coefficients and powers of power equations accurately. R<sup>2</sup>, RE and NSE values were calculated as follows:

$$RE = \frac{(O_i - P_i)}{O_i} \times 100, \tag{15}$$

$$R^{2} = \frac{\left[\sum_{i=1}^{n} \left(O_{i} - \overline{O}\right) \left(P_{i} - \overline{P}\right)\right]^{2}}{\sum_{i=1}^{n} \left(O_{i} - \overline{O}\right)^{2} \sum_{i=1}^{n} \left(P_{i} - \overline{P}\right)^{2}},$$
(16)

$$NSE = 1 - \frac{\sum (O_i - P_i)^2}{\sum (O_i - \overline{O})^2},$$
(17)

where *RE* is the relative error;  $R^2$  is the coefficient of determination;  $O_i$  are the observed values;  $P_i$  are the predicted values;  $\overline{O}$  is the mean of the observed value;  $\overline{P}$  is the mean of the predicted value; and NSE is

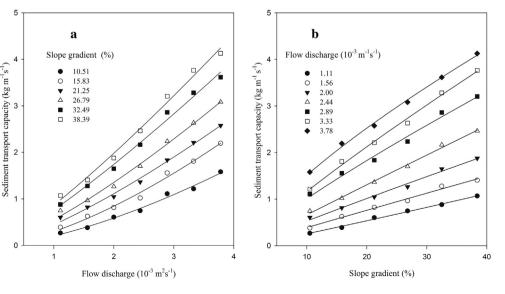


Fig. 2. Measured sediment transport capacity as a function of flow discharge and slope gradient.

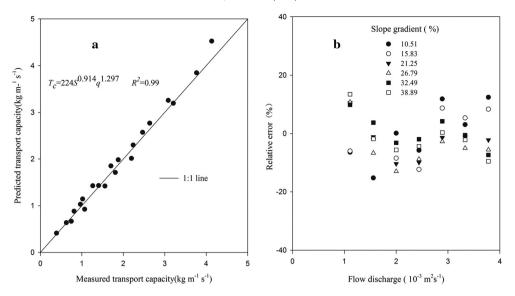


Fig. 3. Measured vs. predicted sediment transport capacity and simulated relative error vs. flow discharge across a range of slopes (using Eq. (18)).

the Nash–Sutcliffe model efficiency (Nash and Sutcliffe, 1970), a normalised statistic that reflects the relative magnitude of the residual variance compared with the variance of the observed data [good (NSE > 0.7), satisfactory ( $0.4 < NSE \le 0.7$ ) and unsatisfactory (NSE  $\le 0.4$ )].(Moriasi et al., 2007; Ahmad et al., 2011; An et al., 2012).

# 3. Results

# 3.1. T<sub>c</sub> estimated by flow discharge and slope gradient

Fig. 2 shows the measured  $T_c$  varied with different flow discharges and slope gradients. Evidently, flow discharge and slope gradient strongly influenced the measured  $T_c$ . In particular, the measured  $T_c$  increased with increasing flow discharge and slope gradient. Under the same discharge level, the increase in  $T_c$  was the largest when the slope gradient increased from 26.79% to 32.49%. However, when the slope gradient increased from 32.49% to 38.39%, the increase in  $T_c$  was reduced. This finding could be attributed to the fact that a critical slope > 32.49% for transporting loess sediments existed. To evaluate the relationship of  $T_c$  with flow discharge and slope gradient, multivariate regression analyses were conducted to derive the following relationship using one part of dataset:

$$T_c = 224S^{0.914}q^{1.297} \quad \left(R^2 = 0.99, NSE = 0.99, P < 0.01, n = 21\right)$$
(18)

where  $T_c$  is the sediment transport capacity (kg m<sup>-1</sup> s<sup>-1</sup>), *S* is the slope gradient (%), and *q* is the flow discharge (m<sup>2</sup> s<sup>-1</sup>). It was showed that Eq. (18) could be used to predict  $T_c$  well by R<sup>2</sup> = 0.99 and NSE = 0.99. The validation of Eq. (18) was further done using another part of dataset. The result of validation further showed that Eq. (18) could be used to predict  $T_c$  well by derived statistical parameters (R<sup>2</sup> = 0.99, NSE = 0.99, P < 0.01, n = 21). Fig. 3(a) also shows that the predicted  $T_c$  is extremely close to the measured values. Fig. 3(b) illustrates that relative error (RE) changes with flow discharge across a range of slopes. Most RE values were nearly 0. This result could explain the expression in Fig. 3(a).

To evaluate the suitability of the *ANSWERS* and Zhang models in our experiment, the relationship between measured  $T_c$  and the values

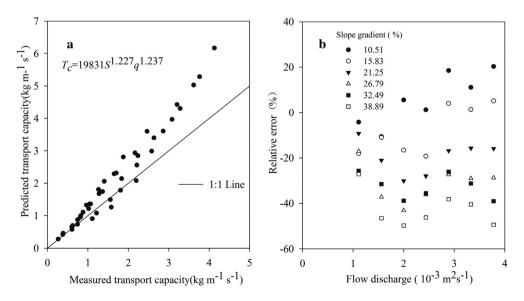


Fig. 4. Measured vs. predicted sediment transport capacity and simulated relative error vs. flow discharge across a range of slopes (Using Eq. (5)).

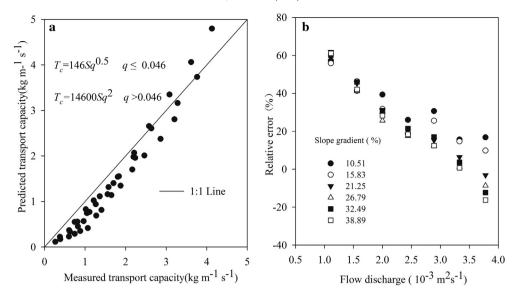


Fig. 5. Measured vs. predicted sediment transport capacity and simulated relative error vs. flow discharge across a range of slopes (Using Eq. (2) and (3)).

predicted by these models are shown in Figs. 4 and 5, respectively. Fig. 4(a) shows that the  $T_c$  calculated by the Zhang model is greater than the measured values when these values are higher than 1 kg m<sup>-1</sup> s<sup>-1</sup>. The prediction capability of the Zhang model decreased with increasing measured  $T_c$ . Fig. 4(b) shows that most of the predicted values are higher by 20% than the measured values; moreover, the greatest RE is nearly 50%. Fig. 5(a) indicates that only four predicted values calculated by the *ANSWERS* model are greater than their observed counterparts. Fig. 5(b) illustrates that RE decreases with an increase in flow discharge. This result indicated that the simulated  $T_c$  determined by the *ANSWERS* model was highly sensitive to flow discharge under the experiment data used in this study.

To clearly evaluate the suitability of the *ANSWERS* and Zhang models in predicting  $T_c$  in this study, Table 1 presents the results of the assessment when these models are used to predict  $T_c$ . The Zhang model was used to predict  $T_c$  in this study with  $R^2 = 0.96$  and NSE = 0.54. However, RE varied from -49% to 20%, and the mean RE (MRE) was -21.3%. This result revealed that the  $T_c$  predicted by the Zhang model was 21.3% greater than the measured value, which is in accordance with Fig. 4(b).

The ANSWERS model (Beasley and Huggins, 1982) was also used to predict  $T_c$  under the conditions used in this study with  $R^2 = 0.96$  and NSE = 0.87. However, RE varied from -16% to 62%, and MRE was 25.4%. Overall, Eq. (18) was the best option for predicting  $T_c$  with  $R^2 = 0.99$ , NSE = 0.99 and MRE = -1.38% under the conditions used in this study.

# 3.2. Effect of mean flow velocity on T<sub>c</sub>

Fig. 6 illustrates that the mean flow velocity is as a function of flow discharge and slope gradient. Mean flow velocity clearly increased with increasing flow discharge and slope gradient. Fig. 7 shows that a power function exists between mean flow velocity and  $T_c$  using one part of dataset. The equation of this function is given as follows:

$$T_c = 2.054V^{3.976} \quad \left(R^2 = 0.97, \text{NSE} = 0.97, P < 0.01, n = 21\right)$$
 (19)

where  $T_c$  is the sediment transport capacity (kg m<sup>-2</sup> s<sup>-1</sup>), and V is the mean velocity (m s<sup>-1</sup>). Eq. (19) could predict  $T_c$  satisfactorily with R<sup>2</sup> = 0.97 and NSE = 0.97. The validation of Eq. (19) was further done using another part of dataset. The result of validation further

showed that Eq. (19) could be used to predict  $T_c$  well by derived statistical parameters ( $R^2 = 0.99$ , NSE = 0.99, P < 0.01, n = 21).

# 3.3. Response of $T_c$ to hydrodynamic parameters

Fig. 8 shows the relationship between shear stress and  $T_c$  using one part of dataset. A power function existed between shear stress and  $T_c$ , i.e.

$$T_c = 0.06\tau^{1.72} \quad \left(R^2 = 0.88, \text{NSE} = 0.87, P < 0.01, n = 21\right)$$
 (20)

where  $T_c$  is the sediment transport capacity (kg m<sup>-2</sup> s<sup>-1</sup>), and  $\tau$  is the shear stress (Pa). Eq. (20) indicated that shear stress was a good predictor when the measured  $T_c$  was simulated by shear stress with R<sup>2</sup> = 0.88 and NSE = 0.87. The validation of Eq. (20) was further done using another part of dataset. The result of validation further showed that Eq. (20) could be used to predict  $T_c$  well by derived statistical parameters (R<sup>2</sup> = 0.89, NSE = 0.88, P < 0.01, n = 21).

The relationship between stream power and  $T_c$  using one part of dataset is shown in Fig. 9. In our study, a linear function existed between stream power and  $T_c$ , i.e.

$$T_c = 0.3(\omega - 1) \quad (R^2 = 0.96, \text{NSE} = 0.96, P < 0.01, n = 21)$$
 (21)

where  $T_c$  is the sediment transport capacity (kg m<sup>-1</sup> s<sup>-1</sup>), and  $\omega$  is the stream power (W m<sup>-2</sup>). Eq. (21) showed that the measured  $T_c$  was simulated well by stream power with  $R^2 = 0.96$  and NSE = 0.96. The validation of Eq. (21) was further done using another part of dataset. The result of validation further showed that Eq. (21) could be used to predict

#### Table 1

Assessment of models based on the coefficient of relative error (*RE*), the coefficient of mean relative error (*MRE*), the coefficient of determination ( $R^2$ ) and the coefficient of Nash-Suticliffe model efficiency (*NSE*) between observed and predicted transport capacity.

Model	Equation	RE (%)	MRE (%)	R <sup>2</sup>	NSE
Zhang	$T_c = 19831S^{1.227}q^{1.237}$	-49 - 20	-21.3	0.96	0.54
ANSWERS	$T_c = 146Sq^{0.5}  \mathrm{q} \le 0.046$	-16-62	25.4	0.96	0.87
	$T_c = 14600Sq^2 \text{ q} > 0.046$				
This study	$T_c = 224S^{0.914}q^{1.297}$	-15-11	-1.38	0.99	0.99

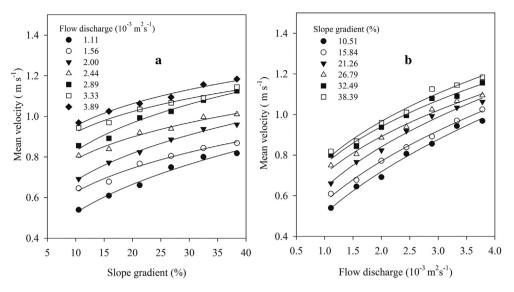


Fig. 6. Mean flow velocity as a function of flow discharge and slope gradient.

 $T_c$  well with R<sup>2</sup> = 0.96, NSE = 0.96 and MRE = -0.13%. This result indicated that stream power was a valuable hydrodynamic parameter for predicting  $T_c$ .

Fig. 10 shows that the measured  $T_c$  is a function of unit stream power using one part of dataset. Unit stream power was not a good predictor of  $T_c$  according to further validation used anther part of dataset in our study with  $R^2 = 0.63$ , NSE = 0.62 and MRE = -23.8%.

# 4. Discussion

In this study, the measured  $T_c$  increased with increasing flow discharge and slope gradient. This result is consistent with those reported by Zhang et al. (2009) and Ali et al. (2011).

Prosser and Rustomji (2000) suggested that the values of  $1.0 \le \beta \le 1.8$  and  $0.9 \le \gamma \le 1.8$  were recommended for sediment transport modelling when Eq. (1) was used. If a single combination was chosen, then selecting the median value of each parameter ( $\beta = \gamma = 1.4$ ) would be appropriate, the exponents of flow discharge (0.914) and slope gradient (1.297) were within these ranges, however, both were <1.4. The slope gradient index (0.914) was 41.9% smaller than the flow discharge index (1.297), so  $T_c$  was more sensitive to flow discharge

than slope gradient. The results are consistent with those of Zhang (2009) and Beasley and Huggins (1982).

The comparison of slope exponent and flow exponent for different sediment transport capacity models was shown in Table 2. Compared with the ANSWERS model (Beasley and Huggins, 1982), the slope exponent in this study was 9.4% smaller than the slope exponent of ANSWERS and the flow exponent was 54.2% smaller than the flow exponent of AN-SWERS, so the  $T_c$  predicted by the ANSWERS model was 25.4% smaller than the measured  $T_c$ . This result could be attributed to the fact that slope gradient was an important factor that influenced  $T_c$ . The steep slopes selected in this study ranged from 10.51% to 38.39%, which were different from the gentle slopes used in the ANSWERS model. Compared with the Zhang et al. (2009) model, the slope exponent in this study was 34.2% smaller than the slope exponent of Zhang model and the flow exponent was 7.8% larger than the flow exponent of Zhang model, so  $T_c$  predicted by the Zhang model was 21.3% greater than the measured value. This result could be attributed to the differences in soil texture and median particle diameter. In our study, the slope gradients and flow discharges were similar to their counterparts in the Zhang model. The non-erodible bed used in our study was also the same as its counterpart in the Zhang model. However, the test soil in our study was

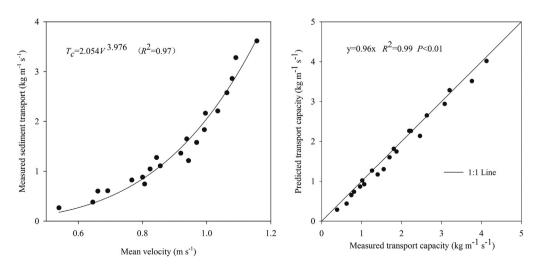


Fig. 7. Sediment transport capacity as a power function of mean flow velocity.

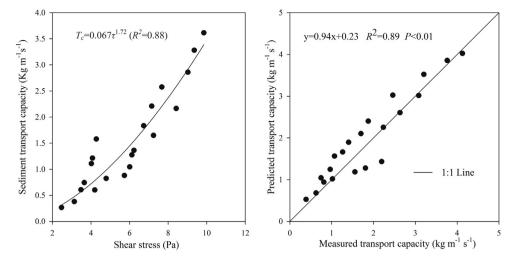


Fig. 8. Sediment transport capacity as a power function of shear stress.

loess sediments obtained from Ansai, Shaanxi in China. The test material reported by Zhang et al. (2009) was well-sorted sand collected from the bed of the Yongding River near Beijing. Moreover, the median particle diameter (0.04 mm) in our study was 600% smaller than its counterpart (0.28 mm) in the study of Zhang et al. (2009). Compared with the equation reported by Mahmoodabadi et al. (2014), the slope exponent in this study was 115.8% smaller than the slope exponent of the equation reported by Mahmoodabadi et al. (2014) and the flow exponent was 31.8% larger than the flow exponent of the equation reported by Mahmoodabadi et al. (2014) because the experiment slopes of the equation reported by Mahmoodabadi et al. (2014) were from 2% to 6% which were much smaller than the slopes in this study and the median diameter of test soil was much larger than the median diameter of our test soil. Overall, the differences of the exponents and equations in the present study and those in the published literature could be mainly attributed to the differences in slope gradients and sediment-size distributions used in the aforementioned models. On the one hand, loess sediment, which was different from sand, was selected as the test soil. On the other hand, steep slope played an important role in the result.

Mean flow velocity was a highly important hydraulic parameter that affected  $T_c$  and depended on slope gradient, flow discharge, flow depth and bed geometry (Ali et al., 2011; Zhang et al., 2009). Several

researchers have suggested that slope gradient or flow discharge slightly influences mean flow velocity when the experiment bed is erodible; moreover, bed geometry is the main factor that affects mean flow velocity (Govers, 1992; Nearing et al., 1997; Takken et al., 1998; Nearing et al., 1999; Giménez and Govers, 2001; Ali et al., 2011). However, a nonerodible bed was selected in the present study, but flow discharge and slope gradient still strongly influenced mean flow velocity. This result is consistent with that in the study of Zhang et al. (2009). However, the Eq. (19) was different from its counterpart in the report of Zhang et al. (2009), who determined that a linear function existed between mean flow velocity and  $T_c$ . This finding could be attributed to the fact that soil particles could influence mean flow velocity.

Many studies have suggested that shear stress is generally not a good predictor of  $T_c$  for overland flow on erodible beds (Govers and Rauws, 1986; Govers, 1992). However, Nearing et al. (1989) and Zhang et al. (2009) found that their measured  $T_c$  was simulated well by shear stress. In our study, shear stress was a good predictor of  $T_c$ . The result is consistent with the assertions of Zhang et al. (2009)and Nearing et al. (1989). Compared with the equation ( $T_c = k\tau^{1.5}$ ), which was reported by Nearing et al. (1989), the exponent of Eq. (20) (1.72) was approximately 13% greater than that of the WEPP model (1.5) reported by Nearing et al. (1989). The variation in result was likely

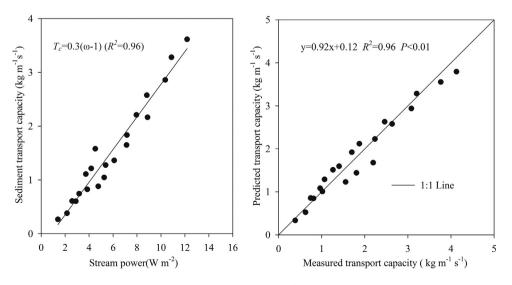


Fig. 9. Sediment transport capacity as a linear function of stream power.

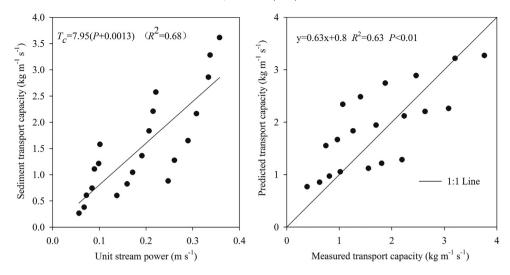


Fig. 10. Sediment transport capacity as a linear function of unit stream power.

ascribed to slope gradient and bed surface conditions (smooth or rough); a steep slope and a non-erodible bed were used in the present study. The exponent of 1.72 of Eq. (20) was approximately 13% smaller than the exponent of 1.982 reported by Zhang et al. (2009). This variation could be attributed to the different test materials used in the two studies. Loess sediments were selected in our work, whereas uniform sand was used as the test material in the study of Zhang et al. (2009).

Several researchers have indicated that stream power or excess stream power is a valuable hydrodynamic parameter for predicting  $T_c$  (Govers, 1990, 1992; Li and Abrahams, 1999; Abrahams et al., 2001). In this study, stream power is a very good predictor of  $T_c$ , which agreed with the findings of previous researchers (Govers, 1990, 1992; Li and Abrahams, 1999; Abrahams et al., 2001).

Unit stream power is also an important hydrodynamic parameter that affects  $T_c$ . The response of  $T_c$  to this parameter was also analysed in the present study. In the Limburg Soil Erosion Model (Govers, 1990; de Roo et al., 1996),  $T_c$  with the unit of kg m<sup>-3</sup> was described by unit stream power. In the present study,  $T_c$  with the unit of kg<sup>-1</sup> m<sup>-1</sup> s<sup>-1</sup> was used instead of  $T_c$  with the unit of kg m<sup>-3</sup>, which could be obtained from the unit of kg<sup>-1</sup> m<sup>-1</sup> s<sup>-1</sup> divided by the per unit width sediment-laden flow discharge with the unit of m<sup>2</sup> s<sup>-1</sup>. This  $T_c$  was described by unit stream power such that the relationships between  $T_c$  and different hydraulic parameters could be compared in terms of R<sup>2</sup> and NSE based on the same unit of sediment  $T_c$ .

For erodible beds, numerous studies have indicated that unit stream power exhibited the greatest potential to estimate  $T_c$  (Govers and Rauws, 1986; Moore and Burch, 1986; Govers, 1990; Ali et al., 2011). However, for non-erodible beds, the unit stream power in our study was not a good predator of  $T_c$  and this result agreed with the finding of Zhang et al. (2009), who also used a non-erodible bed to measure  $T_c$ . Such result could be attributed to the fact that theoretical concepts derived from erodible beds did not necessarily reflect the conditions for non-erodible beds (Ali et al., 2011).

#### 5. Conclusion

In this study, graphical and statistical analyses using one part of dataset were conducted to establish sediment transport capacity equations and another part of dataset was used to the equation validation to evaluate the suitability of these equations. The results of this study showed that  $T_c$  increased as a power function with increasing flow discharge and slope gradient. A critical slope > 32.49% for the transport of loess sediments was observed.  $T_c$  was more sensitive to flow discharge than to slope gradient. This result is similar to those of the studies of Zhang et al. (2009) and Mahmoodabadi et al. (2014). The ANSWERS and Zhang models were used to calculate  $T_c$ . The results showed that the  $T_c$  predicted by the ANSWERS model was smaller than the measured value because steep slopes ranging from 10.51% to 38.39% were used in the present study, which were different from the gentle slopes used in the ANSWERS model. By contrast, the predicted  $T_c$  used by the Zhang model was larger than the measured value probably because of the differences in soil texture and median particle diameter. Compared with the ANSWERS and Zhang models, Eq. (18) provided the best formula for predicting  $T_c$  with  $R^2 = 0.99$  and NSE = 0.99 under the condition of the present study.

In addition, a power function was observed between mean flow velocity and  $T_c$ . Eq. (19), which was established with mean flow velocity and  $T_c$  could predict  $T_c$  satisfactorily with  $R^2 = 0.99$  and NSE = 0.99.

Based on the results of this study, when loess sediments and steep slopes were selected in the experiment, both shear stress and stream power were good predictors of  $T_c$  for a non-erodible bed. A power function existed between shear stress and  $T_c$ . Eq. (20) showed that shear stress was a good predictor when the measured  $T_c$  was simulated by shear stress with  $R^2 = 0.89$  and NSE = 0.88. A linear function was observed between stream power and  $T_c$ . Eq. (21) indicated that stream power was a valuable hydraulic parameter for predicting  $T_c$  with  $R^2 = 0.96$  and NSE = 0.96. Unit stream power was not a good predictor

#### Table 2

The comparison of model parameters of slope exponent and flow exponent.

Model	Equation	Slope exponent	Flow exponent	Slope (%)	D <sub>50</sub> (mm)
Zhang (Zhang et al., 2009)	$T_c = 19831S^{1.227}q^{1.237}$	1.227	1.237	8.8-46.6	0.28
ANSWERS (Beasley and Huggins, 1982)	$T_c = 146Sq^{.0.5} \text{ q} \le 0.046$	1	0.5	<10	-
	$T_c = 14600Sq^2  \mathrm{q} > 0.046$	1	2		
Mahmoodabadi (Mahmoodabadi et al., 2014)	$T_c = 8590.1S^{1.972}q^{0.855}$	1.972	0.855	2-6	0.19-0.77
This study	$T_c = 224S^{0.914}q^{1.297}$	0.914	1.297	10.51-38.39	0.04

of  $T_c$  in our study with  $R^2 = 0.63$  and NSE = 0.62. This result was different from those of many other studies (Govers and Rauws, 1986; Moore and Burch, 1986; Govers, 1990; Ali et al., 2011), because research performed on erodible beds did not necessarily reflect the same conditions in research conducted on non-erodible beds (Ali et al., 2011). Therefore, the derived relationships should be evaluated further using loess sediments on an erodible bed with steep slopes.

# Acknowledgements

Financial support for this research was provided by the National Natural Science Foundation of China funded project (41471230; 41171227; 40971172) and the Chinese Academy of Sciences funded key project (KZZD-EW-04-03).

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